



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: William Dubrul	
Application No.: 10/747,813	Attorney Docket No.: GMI 1001-4
Filed: December 29, 2003	Examiner: Unassigned
Title: Biological Passageway Occlusion Removal	Group: 3731
	Confirmation No.: 1880
	Customer No. 22470

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

*Enclosed with this statement are the following:*

- Form PTO/SB/08A. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- A copy of each cited document as required by 37 C.F.R. §1.98. However, since this application was filed after June 30, 2003, copies of U. S. Patents and U. S. Patent Application Publications are not enclosed. (See O. G. Notice of August 5, 2003.) Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

***This statement should be considered because:***

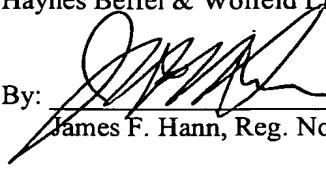
**37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

It is being filed before the mailing date of the first Office Action on the merits.

**Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0869 (GMI 1001-4).

Respectfully submitted,  
Haynes Beffel & Wolfeld LLP

By:

  
James F. Hann, Reg. No. 29,719

Date: May 25, 2004

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JFH:pfh



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PTO/SB/08A (08-00)  
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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

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***Complete if Known***

<b>Application Number</b>	10/747/813
<b>Filing Date</b>	December 29, 2003
<b>First Named Inventor</b>	William Dubrul
<b>Group Art Unit</b>	3731
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	GMI 1001-4

## U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
	A1	3,996,938		Clark, III	12-14-1976	
	A2	4,401,124		Guéss et al.	08-30-1983	
	A3	4,425,908		Simon	01-17-1984	
	A4	4,581,017		Sahota	04-08-1986	
	A5	4,582,061		Fry	04-15-1986	
	A6	4,869,259		Elkins	09-26-1989	
	A7	4,977,897		Hurwitz	12-18-1990	
	A8	5,048,530		Hurwitz	09-17-1991	
	A9	5,081,997		Bosley, Jr., et al.	01-21-1992	
	A10	5,102,415		Guenther et al.	04-07-1992	
	A11	5,213,569		Davis	05-25-1993	
	A12	5,221,269		Miller et al.	06-22-1993	
	A13	5,329,942		Gunther et al.	07-19-1994	
	A14	5,380,273		Dubrul et al.	01-10-1995	
	A15	5,382,259		Phelps et al.	01-17-1995	
	A16	5,383,466		Partika	01-24-1995	
	A17	5,490,521		Davies et al.	02-13-1996	
	A18	5,498,236		Dubrul et al.	03-12-1996	
	A19	5,518,498		Lindenberg et al.	05-21-1996	
	A20	5,549,626		Miller et al.	08-27-1996	

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	10/747/813
				Filing Date	December 29, 2003
				First Named Inventor	William Dubrul
				Group Art Unit	3731
				Examiner Name	Unassigned
Sheet	2	of	3	Attorney Docket Number	GMI 1001-4

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		Number	Kind Code <sup>2</sup> (if known)			
A21	5,611,345			Hibbein	03-18-1997	
A22	5,683,451			Lenker et al.	11-04-1997	
A23	5,713,848			Dubrul et al.	02-03-1998	
A24	5,749,883			Halpern	05-12-1998	
A25	5,766,135			Terwilliger	06-16-1998	
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A27	5,797,960			Stevens et al.	08-25-1998	
A28	5,814,064			Daniel et al.	09-29-1998	
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A30	5,868,708			Hart et al.	02-09-1999	
A31	5,897,567			Resemann et al.	04-27-1999	
A32	5,935,139			Bates	08-10-1999	
A33	6,027,520			Tsugita et al.	02-22-2000	
A34	6,096,053			Bates	08-01-2000	
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A36	6,238,412			Dubrul et al.	05-29-2001	
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A38	2002/0165598			Wahr et al.	11-07-2002	
A39						
A40						

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		Office <sup>3</sup>	Number <sup>4</sup>				
B11							
B12							
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B18							
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<p>Sheet</p>		<p>3</p>	<p>of</p>	<p>3</p>	<p>10/747/813</p>
<p>Application Number</p>		<p>10/747/813</p>			
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<p>First Named Inventor</p>		<p>William Dubrul</p>			
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<p>Examiner Name</p>		<p>Unassigned</p>			
<p>Attorney Docket Number</p>		<p>GMI 1001-4</p>			

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

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